# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

### FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of report (Date of earliest event reported): November 13, 2019

## **ALPHA-EN CORPORATION**

(Exact Name of Registrant as Specified in Its Charter)

Delaware (State or Other Jurisdiction of Incorporation) 001-12885 (Commission File Number) 95-4622429 (IRS Employer Identification No.)

28 Wells Avenue, 2nd Floor, Yonkers, New York (Address of Principal Executive Offices)

(914) 418-2000 (Registrant's Telephone Number, Including Area Code)

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common Stock, par value \$0.01 per share	ALPE	OTC Markets
11 1	Form 8-K filing is intended to simuthe following provisions ( <i>see</i> Gen	ultaneously satisfy the filing obligation of the registrant under eral Instruction A.2. below):
[ ] Written communications pursuant to	Rule 425 under the Securities Ac	et (17 CFR 230.425)
[ ] Soliciting material pursuant to Rule	14a-12 under the Exchange Act (	17 CFR 240.14a-12)
[ ] Pre-commencement communication	as pursuant to Rule 14d-2(b) under	the Exchange Act (17 CFR 240.14d-2(b))
[ ] Pre-commencement communication	as pursuant to Rule 13e-4(c) under	the Exchange Act (17 CFR 240.13e-4(c))
3		pany as defined in Rule 405 of the Securities Act of 1933 (17 CFR §240. 12b-2). Emerging growth company [ ]

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# Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

### Resignation of Chief Executive Officer

On November 13, 2019 the Board of Directors (the "Board") of alpha-En Corporation (the "Company") accepted the resignation of Sam Pitroda, as the Company's current Chief Executive Officer. Mr. Pitroda will continue to serve as the Company's Chairman of the Board.

### Appointment of New Director - Kip Testwuide

On November 13, 2019, Kip Testwuide was appointed to the Board of Directors of the Company to serve as a director of the Company.

There is no understanding or arrangement between Mr. Testwuide and any other person pursuant to which he was appointed as director. Mr. Testwuide does not have any family relationship with any director, executive officer or person nominated or chosen by the Company's board to become a director or an executive officer. Mr. Testwuide has not had direct or indirect material interest in any transaction or proposed transaction, in which the Company was or is a proposed participant, exceeding \$120,000.

Mr. Testwuide spent most of his career as a banker with BNP-Paribas and later became an investor in technology startups and other early stage companies. The Board believes that Mr. Testwuide will provide valuable strategic and operational guidance to the Company.

### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ALPHA-EN CORPORATION (Registrant)

Date: November 21, 2019 By: /s/ Jerome I. Feldman

Name: Jerome I. Feldman

Title: Executive Chairman and Treasurer