## UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

# FORM 8-K

### CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of report (Date of earliest event reported): August 29, 2017

## **ALPHA-EN CORPORATION**

(Exact Name of Registrant as Specified in Its Charter)

Delaware

001-12885

95-4622429

(State or Other Jurisdiction of Incorporation)

1

(Commission File Number) (IRS Employer Identification No.)

28 Wells Avenue, 2nd Floor, Yonkers, New York 10701

(Address of Principal Executive Offices)

(914) 418-2000 (Registrant's Telephone Number, Including Area Code)

120 White Plains Road, Suite 425, Tarrytown, New York 10591 (Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (*see* General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (17 CFR §230.405) or Rule 12b-2 of the Securities Exchange Act of 1934 (17 CFR §240. 12b-2).

Emerging growth company [ ]

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. []

Item Other Events 8.01

1

On August 29, 2017 the Company issued a press release entitled "alpha-En Corporation Announces Joint Research Program with Mercedes-Benz Research and Development North America & Princeton University". A copy of the press release is attached hereto as Exhibit 99.1 and incorporated herein by reference.

Item Financial Statements and Exhibits. 9.01

(d) Exhibits

99.1 Press Release, dated August 29, 2017

#### SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ALPHA-EN CORPORATION

(Registrant)

Date: August 29, 2017

By: /s/ Jerome I. Feldman

Na Jerome I. Feldman me:

Title Executive Chairman and Treasurer

#### Exhibit 99.1

### alpha-En Corporation Announces Joint Research Program with Mercedes-Benz Research and Development North America & Princeton University

YONKERS, N.Y. August 29, 2017 – alpha-En Corporation (OTC: ALPE), an innovative clean technology company that has developed a patent pending process to produce high purity thin film lithium metal anodes and associated products at room temperature, announced today the commencement of a joint research program with Mercedes-Benz Research and Development North America and Princeton University. This joint research program's investigation of alpha-En's pure lithium metal thin films in advanced battery systems will be conducted at Princeton University under the direction of Daniel Steingart, Associate Professor of Mechanical and Aerospace Engineering at the Andlinger Center for Energy and the Environment.

The purpose of this program is for all parties to work together using alpha-En's highly pure lithium metal Nano-rods to investigate the potential of certain next-generation battery technologies with the purpose of improving cell performance and battery production for electric vehicle and consumer product applications.

"We are very pleased and proud to be part of this joint research program with one of the world's leading luxury automobile manufacturers at one of the most preeminent University and research institutions in the world," said alpha-En Founder and Executive Chairman Jerome Feldman. "Lithium metal batteries have long been considered the Holy Grail of energy storage."

"As a developing materials company, we are fortunate to be able to leverage the expertise of leading electrochemical researchers in industry as well as academia. It is a pleasure to expand our existing relationship with Daniel Steingart and Princeton to include Mercedes-Benz, creating this unique research group," said Emilie Bodoin, alpha-En's Managing Director of Business Development.

About alpha-En Corporation

alpha-En Corporation (OTC: ALPE) is an innovative clean technology company focused on enabling next generation battery technologies by developing and bringing to market high purity lithium metal and associated products produced in a cost effective and environmentally sustainable manner. For more information, please visit <u>http://alpha-encorp.com</u>.

**Corporate Contact** 

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